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HDP/SB/21 based on PTO/SB/21 (08-00)

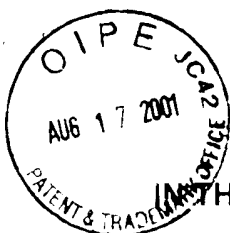
Receipt

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/824,481	
	Filing Date	April 2, 2001	
	First Named Inventor	Tomoyuki Seki et al.	
	Group Art Unit	2879	
	Examiner Name		
Total Number of Pages in This Submission	11	Attorney Docket Number	5077-000028

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> return postcard; Request for Corrected Filing Receipt (with copy of Declaration and Power of Attorney and copy of Filing Receipt);
Remarks		The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name Gregory A. Stobbs
		Reg. No. 28,764
Signature 		
Date 08/14/2001		

CERTIFICATE OF MAILING/TRANSMISSION
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/824,481
Filing Date: April 2, 2001
Applicants: Tomoyuki Seki, et al
Group Art Unit: 2879
Examiner: not yet known
Title: Discharge Lamp and Lamp Unit
Attorney Docket: 5077-000028

Office of Initial Patent Examination's Customer Service Center
Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

We acknowledge receipt of the Official Filing Receipt for the above-identified application. However, there is an inventor's name missing from the Applicant(s) section. Please add Kenichi Sasaki of Osaka, JAPAN, as the last inventor. (A copy of the Declaration and Power of Attorney and a copy of the Filing Receipt are enclosed for your convenience.)

Accordingly, would you please correct your records and issue a corrected Filing Receipt.

Respectfully submitted,

Gregory A. Stopps
Reg. No. 28764

HARNES, DICKEY & PIERCE, P.L.C.
P.O. Box 828
Bloomfield Hills, Michigan 48304
248/641-1600



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/824,481	04/02/2001	2879	980	5077-000028	9	13	2

CONFIRMATION NO. 9071

FILING RECEIPT



OC000000006058048

Harness, Dickey & Pierce, P.L.C.
Gregory A. Stobbs
P.O.Box 828
Bloomfield Hills, MI 48303

Date Mailed: 06/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Tomoyuki Seki, Osaka, JAPAN;
Makoto Horiuchi, Nara, JAPAN;
Makoto Kai, Osaka, JAPAN;
Tsuyoshi Ichibakase, Osaka, JAPAN;
Mamoru Takeda, Kyoto, JAPAN;
Shinichi Yamamoto, Osaka, JAPAN;

→
Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-100669 04/03/2000

If Required, Foreign Filing License Granted 05/09/2001

Early Publication Request: No

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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NOT GRANTED

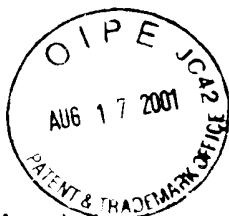
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, the date on the label should be used.

Any correspondence may, and if it is done to your Filing Receipt should be directed to

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



Attorney Docket No. 5077-C00028

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

DISCHARGE LAMP AND LAMP UNIT

the specification of which (check one)

☐ is attached hereto.

☒ was filed on April 2, 2001 as Application
Serial No. 09/824,481 and was amended on
_____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application or to the patentability of the invention claimed therein in accordance with Title 37, Code of Federal Regulations, section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, section 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

			Priority Claim	
2000-100669 (Number)	<u>Japan</u> (Country)	<u>03/04/2000</u> (Day/Month/Year filed)	<u>X</u> Yes	 No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	 Yes	 No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	 Yes	 No

DECLARATION AND POWER OF ATTORNEY

I hereby claim the benefit under Title 35, United States Code, ' 119(e) of any United States Provisional application(s) listed below:

PRIOR PROVISIONAL APPLICATIONS

_____	_____
(application serial number)	(Month / Day / Year filed)
_____	_____
(application serial number)	(Month / Day / Year filed)

I hereby claim the benefit under Title 35, United States Code, section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status - patented, pending, abandoned
------------------------	-------------	--

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false state ments and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint Gregory A. Stobbs, Reg. No. 28,764, and each principal, attorney of counsel, associate and employee of Harness, Dickey & Pierce, P.L.C., who is a registered Patent Attorney, my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. I request the Patent and Trademark Office to direct all correspondence and telephone calls relative to this application to Harness, Dickey & Pierce, P.L.C., P. O. Box 828, Bloomfield Hills, Michigan 48303 (248) 641-1600.

Full name of sole or first inventor: Tomoyuki SEKI

Inventor's signature: Tomoyuki Seki

Citizenship: Japan

Post Office Address: 43-17, Gunke-honmachi, Takatsuki-shi, Osaka 569-1131, Japan

DECLARATION AND POWER OF ATTORNEY

Full name of second joint inventor, if any: Makoto HORIUCHI

Second Inventor's signature: Makoto Horuchi

Date: August 11, 2001

Residence: Nara, Japan

Citizenship: Japan

Post Office Address: 106-2-412, Ohdono, Sakurai-shi, Nara 633-0062, Japan

Full name of third joint inventor, if any: Makoto KAI

Third Inventor's signature: Makoto Kai

Date: August 11, 2001

Residence: Osaka, Japan

Citizenship: Japan

Post Office Address: 6-4-402, Myokenzaka, Katano-shi, Osaka 576-0021, Japan

Full name of fourth joint inventor, if any: Tsuyoshi ICHIBAKASE

Fourth Inventor's signature: Tsuyoshi Ichibakase

Date: August 11, 2001

Residence: Osaka, Japan

Citizenship: Japan

Post Office Address: 34-24, Gunke-shinmachi, Takatsuki-shi, Osaka 569-1136, Japan

Full name of fifth joint inventor, if any: Mamoru TAKEDA

Fifth Inventor's signature: Mamoru Takeda

Date: August 11, 2001

Citizenship: Japan

Post Office Address: 5-2-20, Hikaridai, Seika-cho, Soraku-gun, Kyoto 619-0237, Japan

DECLARATION AND POWER OF ATTORNEY

Full name of sixth joint inventor, if any: Shinichi YAMAMOTO

Sixth Inventor's signature: Shinichi Yamamoto

Date: August 11, 2001

Residence: Osaka, Japan

Citizenship: Japan

Post Office Address: 1-8-49, Soya, Hirakata-shi, Osaka 573-0113, Japan



Full name of seventh joint inventor, if any: Kenichi SASAKI

Seventh Inventor's signature: Kenichi Sasaki

Date: August 11, 2001

Residence: Osaka, Japan

Citizenship: Japan

Post Office Address: 1-61-8, Kozu, Katano-shi, Osaka 576-0053, Japan

Full name of eighth joint inventor, if any: _____

Eighth Inventor's signature: _____

Date: _____

Residence: _____

Citizenship: _____

Post Office Address: _____

Full name of ninth joint inventor, if any: _____

Ninth Inventor's signature: _____

Date: _____

Citizenship: _____

Post Office Address: _____



Creation date: 10-20-2003
Indexing Officer: BTEFERRA - BERIHUN TEFERRA
Team: OIPEBackFileIndexing
Dossier: 09824481

Legal Date: 09-21-2001

No.	Doccode	Number of pages
1	IDS	5
2	FOR	41
3	FOR	1
4	FOR	10
5	FOR	75
6	1449	1

Total number of pages: 133

Remarks:

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